

CHECKLIST ONBOARDING

Procedure for participation in the Electricity Spot Market as a:		DCM
1.	Submission of documents for the credit assessment (§ 24 para. 2 GTC)	
	a) Certified commercial register excerpt	x
	b) Certified annual accounts of the last three fiscal years	x
	c) Internationally accepted ratings (if existing)	o
2.	Signed clearing agreement and annexes (§ 7 para. 4 GTC)	x
	Annex 2 SEPA B2B mandate	x
	Annex 3 Release of the settlement bank from banking secrecy	x
	Annex 4 Confirmation of the transfer of cash deposits	x
	Annex 5 or Annex 5a Information on physical fulfilment: Balance responsible party Information on physical fulfilment: Contract with a balance responsible party	x
	Annex 6 Bank guarantee statement	o
	Annex 7 Pledge declaration for cash	o
	Annex 8 Securities collateral account with the collateral custodian	o
	Annex 8a Pledge declaration for securities collateral	o
	Annex 9 Authorisation of withdrawals by direct debit	o
3.	Submission of signature directory	x
4.	Submission of contact form	x
5.	Successful completion of clearing training (§ 7 para. 4c GTC)	x
6.	Technical installations (§ 7 para. 4b GTC)	
	a) Disclosure of users for the clearing system to EXAA	x
	b) Successful completion of the technical setup (test debit)	x
7.	Deposit of default fund contribution (§ 7 para. 4a GTC)	x
8.	Deposit/pledge of collateral	x
9.	Payment of membership fee (§1 Schedule of Fees)	x
10.	Exchange priority (§ 19 para. 4 GTC)	
	a) Trading in APG control area: Activation of nomination relations with CCPA's balancing groups in the APCS system (CCPACCP1, CCPACCP2 and CCPAGREEN)	x
	b) Trading in German control areas: Fill out the Exchange Priority Form for each control area individually and send it to the respective TSO (with energy@ccpa.at in copy)	x

- x Mandatory.
o Optional.