

# Schedule of Fees

## Spot Market Products in Electric Energy

01.01.2024

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## A Administrative fees

### § 1 CCPA participation fee

Participation in the role of	Fee
General Clearing Member	EUR 10,530.00 p.a.
Direct Clearing Member	EUR 2,316.00 p.a.
Non-Clearing Member	EUR 1,053.00 p.a.

1. Annual participation fees shall be collected from General Clearing Members (GCM), Direct Clearing Members and Non-Clearing Members (Clearing Clients) by CCPA in advance for the current calendar year in the amount stated above.
2. New clearing members shall pay the participation fee for the first calendar year on a pro rata basis on the first of the month effective as of the signing of the corresponding clearing agreement for the subsequent calendar year.
3. Monthly pro rata amounts are rounded off to the next integer according to commercial practice.
4. In the case of termination/withdrawal of clearing membership (during the calendar year), the terminating/withdrawing participant shall have no claim for the repayment of the annual fees. The same shall also apply to a termination of the clearing agreement by CCPA.
5. Notwithstanding the foregoing provisions, clearing members that join the EXAA spot market solely for the purpose of participating in trading in electric power green electricity shall only be required to provide the CCPA participation fee upon commencement of trading in spot market products for electric power of unknown origin.

### § 2 Training and exam fee

1. CCPA charges training and exam fees for the training courses and exams held by CCPA. Participation in the clearing training course before taking an exam is optional.

Training fees for CCPA clearing courses	
Training course	Training fee
Clearing training Electricity Spot Market	EUR 694.00 per person
Clearing training with discount	EUR 521.00 per person
Exam fee	EUR 231.00 pro exam

2. In the case of the registration for one training course of more than two persons of one company, CCPA shall grant a company a discount on the training fee, reducing it to EUR 521.00 per person.
3. CCPA shall check the qualifications and knowledge of the persons to be granted access to the clearing systems. Clearing exams are conducted and an exam fee per person and clearing exam taken is charged.

4. Successful completion of the clearing exam entitles the attendant to receive the CCPA clearing diploma and is a prerequisite for admission as a clearing member in accordance with § 11 para. 2 lit. c of the General Terms and Conditions Spot Market Products in Electric Energy of CCPA. Each clearing member shall provide evidence of at least one named employee with a clearing diploma (admission requirement).

### § 3 Fees for test support

Tests and simulations of business processes or technical systems that are carried out by CCPA on the written request of a clearing member shall be invoiced to the clearing member based on expenses incurred. CCPA shall invoice EUR 115.00 per hour worked or per commenced hour of work to the clearing member.

### § 4 Due dates and value added tax on administrative fees

1. The administrative fees plus any applicable statutory value added tax (VAT) shall be due within one week of being invoiced.
2. For this purpose, the clearing member shall grant CCPA a SEPA B2B direct debit authorization for a current account.
3. The administrative fees pursuant to §§ 1 – 3 are understood plus value added tax (VAT) in the respective statutory rate.
4. In the event of delay in payment of administrative fees (§§ 1 – 3) plus any applicable statutory value added tax (VAT), default interest shall be invoiced in the statutory amounts pursuant to § 456 Austrian Commercial Code (UGB) running as of the due date.

## B Transaction-linked fees for electricity spot market transactions

### § 5 Transaction fee for processing electricity exchange transactions

1. The fees for the clearing and settlement of transactions concluded on the Vienna Stock Exchange as a general commodity exchange on the spot market for electric energy shall be charged together with the transaction fee for trading as an 'all in' fee in accordance with part 3, A, § 25 of the Schedule of Fees of the Vienna Stock Exchange AG (available at [www.wienerbourse.at](http://www.wienerbourse.at)).
2. CCPA charges and collects the 'all in' fee for clearing members.

### § 6 Manipulation fees

1. In the event that the clearing member does not increase the clearing collateral as a response to a foreseeable 'Final Margin Call' (e.g. due to a previous 'Preliminary Margin Call'), CCPA shall have the right to charge EUR 579.00.
2. In case of a return debit due to insufficient funds in the account of a clearing member, CCPA shall have the right to charge EUR 579.00.

### § 7 Due date and payment of transaction-linked fees

1. The transaction fee in accordance with § 5 is due on the banking day following a trade day, with the exception of trade days on weekends and public holidays, for which settlement takes place on the second subsequent banking day. The fees are subject to value added tax (VAT) at the statutory state.
2. For this purpose, the clearing member shall grant CCPA a SEPA B2B direct debit authorization for a current account.

## C Other processing fees for electricity spot market transactions

### § 8 Collateral management fee

1. For booking or refunding the required or deposited clearing collateral, CCPA charges the clearing members a fee of EUR 17.00 per transfer.

### § 9 Due date and payment of other transaction-linked processing fees

1. The collateral management fee according to § 7 is determined at the beginning of a calendar month on the basis of the data of the previous month, then charged to the clearing member and shall be due within one week of being charged.
2. The fee according to § 7 is subject to value added tax (VAT) at the statutory state.
3. For this purpose, the clearing member shall grant CCPA a SEPA B2B direct debit authorization for a current account.

## D Other fees

### § 10 Passing on of expenses for clearing collateral and default fund contributions in the form of cash deposits (EUR) to clearing members

1. With regard to margin and default fund contributions in the form of cash deposits (EUR), clearing members shall refund CCPA expenses, which incur because of the management or investment of such assets.
2. Expenses, which have to be refunded, include negative interest rates, penalty fees, commission fees and other payments, which have a comparable effect and are charged by the clearing facilities keeping the account with regard to the respective cash balance.

### § 11 Due dates and payment of other fees

1. Other fees pursuant to § 9 shall be prescribed to the clearing member at the beginning of every calendar month on the basis of last month's data and shall be due within one week of being invoiced. The calculation shall be performed on a daily basis.
2. CCPA is to be granted a SEPA direct debit authorization for a current account of the clearing member for this purpose.

## E Communication fees

### § 12 Fees for reporting from the clearing system

1. A named clearing user may download standardized system queries (GUI reports) from the clearing system free of charge.
2. CCPA does charge a fee of EUR 176.00 for the subsequent delivery of standardized system queries (reports), from the clearing system per data file (report) and day.
3. CCPA charges an expenditure-dependent fee for system queries from the clearing system other than those mentioned in paras. 1 and 2, but at least EUR 117.00 per generated report.
4. The fees according to para. 2 and 3 are understood plus any applicable statutory value added tax (VAT) and shall be due within one week of being invoiced.

### § 13 GUI reports via sFTP

1. A clearing member may commission CCPA in writing with the automatic provision of standard reports (GUI-reports) via sFTP ("sFTP-reporting"). The registration can be done for a named or a system user.
2. For the initial setup of the sFTP-reporting CCPA charges a fee of EUR 294.00 once. If tests and simulations of sFTP-reporting are required, CCPA charges a fee in accordance with § 3.
3. For the continuous provision of the sFTP-reporting, CCPA charges a monthly fee of EUR 29.00 per sFTP authorized user covering all reports available within the clearing system that will be provided for download via sFTP.
4. The sFTP-reporting is commissioned for an unlimited period and may be terminated with three months' notice on the last day of a calendar month. The clearing member waives its right of termination of the sFTP-reporting within the first 12 months after commissioning.
5. The fees pursuant to paras. 2 and 3 are understood plus any applicable statutory value added tax (VAT) in the applicable statutory amount and shall generally be due within one week of being invoiced.



## F Final arrangements

### § 14 Indexation

1. The fees in EUR amounts mentioned under sections A – E are value-secured. The measure of calculating the amounts shall be the 'Consumer Price Index VPI2020' published monthly by the Austrian Central Office for Statistics or its representative index will be used. An index number calculated for November 2021 serves as a reference for the capital protection.
2. Any fluctuations of the index number shall be disregarded up to and excluding 5 %. By enforcing the indexation, the newly calculated fee rates will be first valid and used by the next maturity of the affected fees<sup>1</sup>. The month and its index number being used for calculation of capital protection will represent the new basis for the subsequent indexation.
3. Adjustments of indexation shall only take place once a calendar year at most.
4. If no indexation takes place over a longer period, it is not possible to conclusively renounce the indexation of the relevant fees for neither the past nor the future.

### § 15 Amendments to the Schedule of Fees

1. Amendments to this Schedule of Fees shall be disclosed by publication in the official publication medium and on the website of CCPA. The clearing member accepts any amendments to this Schedule of Fees, provided it does not file an objection in writing with CCPA, within 10 banking days after their publication.
2. In the case of an objection against the amendments to this Schedule of Fees, CCPA reserves the right to terminate the respective clearing agreement for material reasons.

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<sup>1</sup> The new fee rates are commercially rounded to the respective precision of the EUR amounts used in the fee schedule (i.e. to whole euros or to 2 or 3 decimal places).