

(Note: This translation is for information purposes only. The German text shall prevail.)

DECLARATION of a Client Service Agreement

concluded between

Corporate name and registered office **Clearing Member**

[commercial register number]

[Member-ID]

[LEI]

as Clearing Member

(hereinafter referred to as 'Clearing Member')

and

Corporate name and registered office **Registered Client**

[commercial register number]

[Member-ID]

[LEI]

as Registered Client

(hereinafter referred to as 'Registered Client')

- (i) The Clearing Member has concluded a Clearing Agreement with CCP.A and participates in the clearing of (exchange) transactions concluded on the Vienna Stock Exchange as a General Clearing Member with Member-ID [xxxx].
 - (ii) The Clearing Member has entered into a Client Agreement with the Registered Client regarding the trade in CCP-eligible securities. The Clearing Member concludes (exchange) transactions on behalf of the Registered Client and clears them via CCP.A.
 - (iii) The Clearing Member hereby reports the Registered Client to CCP.A and requests for its registration. As a result the Registered Client participates as a Clearing Client in the clearing of CCP-eligible securities according to § 13 para. 2 of the General Terms and Conditions of CCP.A.
 - (iv) The Registered Client is aware of the General Terms and Conditions of CCP.A and declares to comply with them as well as to accept them as operating rules in the meaning of Art 39 para. 8 EMIR.
 - (v) The Clearing Member declares in particular to duly fulfil all (exchange) transactions concluded by the Registered Client in CCP-eligible securities and to provide the required clearing collateral pursuant to the General Terms and Conditions of CCP.A.
 - (vi) The Clearing Member maintains the position accounts and the margin accounts (for margin calculation) for the Registered Client segregated from its own ones. Also the collateral accounts for the Registered Client are segregated from its own collateral accounts (Individual Client Segregation).
- The Clearing Member maintains a segregated cash settlement account and a segregated securities settlement account for the Registered Client.¹

¹ Check if applicable

- (vii) In case of a default (Trigger Event) of the Registered Client within the meaning of Art 48 EMIR, the Registered Client may request the transfer of its assets and open positions (these are the segregated position accounts of the Registered Client as well as the segregated cash collateral accounts and securities collateral accounts of the Registered Client). To this end, the Registered Client must declare to CCP.A in writing, ideally prior to the Trigger Event (otherwise the chances of the required transfer being executed are limited) this intention stating the accepting Clearing Member and furnishing its statement of consent, and CCP.A must have accepted the declaration in writing.
- (viii) Pursuant to § 46 of the General Terms and Conditions of CCP.A the Clearing Member assigns to the Registered Client all rights required for the transfer of the open positions and assets, which are maintained by the Clearing Member, in advance, under the condition precedent of the occurrence of a Trigger Event in the meaning of Art 48 EMIR.
- (ix) The Registered Client explicitly agrees to the transmission of data obtained under this Agreement or under the General Terms and Conditions of CCP.A by the Exchange Operating Company and the Clearing Facilities to CCP.A, by the Clearing Facilities and CCP.A to the Exchange Operating Company, by CCP.A to the Clearing Facilities as well as by all named institutions to courts and public authorities, in particular the Austrian Financial Markets Supervision ('FMA'), the Austrian Central Bank ('OeNB') and the European Securities and Markets Authority ('ESMA'), for the purposes of supervision of compliance with this Agreement, the General Terms and Conditions of CCP.A and the pertinent laws as well as for the purposes of carrying out the clearing.
- (x) The Registered Client hereby releases CCP.A, the Exchange Operating Company and the Clearing Facilities from the obligation to comply with the data secret and, in case of the Clearing Facilities, also from banking secrecy pursuant to section 38 Banking Act for the purposes, carrying out the clearing and the notification of indications of a violation of the General Terms and Conditions of CCP.A or this Agreement as well as the other information obligations towards courts and public authorities, in particular the Austrian Financial Markets Supervision ('FMA'), the Austrian Central Bank ('OeNB')

and the European Securities and Markets Authority ('ESMA'), and shall procure a corresponding release from its respective Clients.

Place, Date

Corporate Signature **Clearing Member**

Place, Date

Corporate Signature **Registered Client**

SAMPLE