

REPORTING FORM
to report Conflicts of Interests
(according to section 3 of the Code of Conduct)

All relevant persons are obliged to report any (potential or actual) conflicts of interests **relating to themselves** to the Chief Compliance Officer of CCP Austria immediately by using this form.

Personal, professional or economic connections to CCP.A, its owners, the clearing participants, members of Supervisory Board of CCP.A, market infrastructures or other service providers of CCP.A, which are likely to cause a conflict of interest, must be disclosed:

name

business relationship with CCP.A

name (s) of **the person (s) / company involved**
(e.g. owners of CCP.A, clearing participants, persons in bodies of CCP.A,
market infrastructures, service providers)

explain the circumstances that lead to a (potential) conflict of interest

date

- I hereby confirm the **completeness and correctness** of all the information provided in this form and authorize the Chief Compliance Officer in accordance with the *Code of Conduct* to record it in the *conflict register*.

signature

After sending the report, the (personal) data listed above is processed by the CCO for the purpose of fulfilling legal due diligence requirements as a part of the compliance function.